



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. D-1600

In re application No. 10/773,851

Filed: 2/4/2004

Inventors: Amir S. Mikhail, et al

Title: Variable Speed Distributed Drive Train Wind Turbine  
System

GROUP ART

UNIT: 2834

Examiner: Cuevas

**AUTHORIZATION TO ACT IN A REPRESENTATIVE CAPACITY**

The practitioner named below is authorized to conduct interviews and has the authority to bind the principal concerned. Furthermore, the practitioner is authorized to file correspondence in the above-identified application pursuant to 37 CFR 1.34:

Klifton L. Kime Reg. No. 42,733

**SIGNATURE of Practitioner of Record**

Date: December 7, 2005

PO Box 386

Prescott, AZ 86302-0386

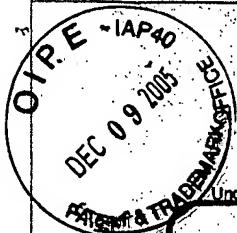
Owen L. Lamb, Reg. #20,831

Attorney of Record

Phone/fax: (928) 776-8037

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PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 545.00)

Complete If Known

Application Number	10/773,851
Filing Date	02/04/2004
First Named Inventor	Amir S. Mikhail
Examiner Name	Cuevas
Art Unit	2834
Attorney Docket No.	D-1600

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

### Deposit Account:

Deposit Account Number	
Deposit Account Name	

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051	65	Surcharge - late filing fee or oath	
1052 50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053	130	Non-English specification	
1812 2,520	1812 2,520		For filing a request for ex parte reexamination	
1804 920*	1804	820*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110	2251	55	Extension for reply within first month	
1252 420	2252	210	Extension for reply within second month	
1253 950	2253	475	Extension for reply within third month	
1254 1,480	2254	740	Extension for reply within fourth month	
1255 2,010	2255	1,005	Extension for reply within fifth month	
1401 330	2401	165	Notice of Appeal	
1402 330	2402	165	Filing a brief in support of an appeal	
1403 290	2403	145	Request for oral hearing	
1451 1,510	1451	1,510	Petition to institute a public use proceeding	
1452 110	2452	55	Petition to revive - unavoidable	
1453 1,330	2453	665	Petition to revive - unintentional	
1501 1,330	2501	665	Utility issue fee (or reissue)	
1502 480	2502	240	Design issue fee	
1503 640	2503	320	Plant issue fee	
1460 130	1460	130	Petitions to the Commissioner	
1807 50	1807	50	Processing fee under 37 CFR 1.17(k)	
1808 180	1808	180	Submission of Information Disclosure Stmt	
8021 40	8021	40	Recording each patent assignment per property (times number of properties)	
1809 770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801	385	Request for Continued Examination (RCE)	395
1802 900	1802	900	Request for expedited examination of a design application	

**SUBTOTAL (1) (\$)**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims below	Fee Paid
			-20** = 2	X 50 = 100
			-3** = 1	X 100 = 100

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$ 150)**

\*or number previously paid, if greater. For Reissues, see above

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 385)**

(Complete if applicable)

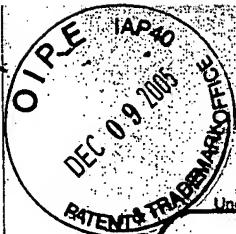
Name (Print/Type)	Owen L. Lamb	Registration No. (Attorney/Agent)	20,831	Telephone (928)776-803
Signature	<i>Owen L. Lamb</i>			
Date	12/07/2005			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0037

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## TRANSMITTAL FORM

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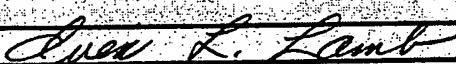
Total Number of Pages in This Submission: 50

Application Number	10/773,851
Filing Date	02/04/2004
First Named Inventor	Amir S. Mikhail
Art Unit	2834
Examiner Name	Cuevas, P.
Attorney Docket Number	D-1600

### ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawings(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> (1) Request for Continued Examination
<input type="checkbox"/> Certified Copy of Priority Documents(s)	<input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> (2) Authorization to Act in a Rep. Capacity
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or individual name	Owen L. Lamb
Signature	
Date	December 7, 2005

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature	Date

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